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DECLARATION OF ALEC PETERS

- I, Alec Peters, declare as follows:
- I am the founder of Axanar Productions, Inc. ("Axanar Productions"). I have personal knowledge of the matters set forth herein, and if I were called as a witness, I could and would competently testify to the statements herein.
- 2. In 2013, I collaborated with Christian Gossett in writing the screenplay for Prelude to Axanar ("Prelude"), a short (around 20 minutes), original mockumentary available on Youtube for free since 2014. Since then, I co-wrote various versions of the unmade potential fan film, Axanar with Bill Hunt.

Prelude and Axanar Are Original, Creative Works

- 3. As I understood at the time between 2014 and when I was sued in December 2015, I believed *Prelude* and our plans for *Axanar* fell within the tolerated realm of fan fiction that Plaintiffs had not previously taken issue with.
- 4. *Prelude* and *Axanar* take place in a time period previously unexplored by any official Star Trek episode, film or other work (21 years before the Original Series), with an original plot, and a large number of original characters. *Prelude* was set in a unique "mockumentary" style never before used by Plaintiffs. We also sought to create a first war-like documentary Star Trek project with *Prelude*, pulling source material from M*A*S*H and Band of Brothers, and now potentially Axanar, pulling from various sources including Midway, Patton, Saving Private Ryan, A Bridge Too Far, and Tora, Tora, Tora.
- 5. My legal training and subsequent research into copyright issues furthered my understanding that using more obscure characters (as opposed to central Star Trek characters) and infusing originality (as opposed to replicating prior works), including through style and incorporating alternate sources, would weigh against infringement, and/or in favor of fair use.
- Accordingly, we did not "take" main characters, sequence, themes, 6. moods, or dialogue from any official Star Trek episode, film or other work. We did

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- not seek to recreate scenes like many other fan films. The mood and themes of *Prelude*, and that were intended by *Axanar*, have never been seen before in any official Star Trek episode, film or other work. Indeed, we promoted these projects, including through Kickstarter and various other promotional materials, as "Star Trek like you have never seen before." For example, Defendants portrayed the character Garth of Izar in ways that explain what drove him mad and caused him to suffer what could now be described as post-traumatic stress disorder.
- Additionally, none of the settings in *Prelude* were the same as any setting used in any official Star Trek episode, film or other work. For example, "Starfleet" is a generic term used in science fiction generally, and in Star Trek itself, to indicate space ships from different races. Further, our system of "stardates" is merely the year followed by a decimal point and a number to indicate the month. We do not know how Stardates were measured in any official Star Trek episode, film or other work, as Plaintiffs have never provided a formula.
- The only Vulcan appearing in *Prelude*, Soval, is substantially different: 8. his hair, age, and costume are different from any Vulcan seen in any official Star Trek episode, film or other work.
- 9. Furthermore, Prelude features only one Klingon, Kharn. The representation of Kharn is not substantially similar to the Klingons seen in any official Star Trek episode, film or other work. Klingons did not even have a consistent appearance across any official Star Trek episode, film or other work, appearing as little more than actors wearing brown make-up to darken their skin in *Star Trek: The* Original Series, and appearing as characters with large head ridges, and big, dog-like teeth in later television episodes and motion pictures.
- 10. Additionally, neither the U.S.S. Enterprise nor the Klingon starships of Star Trek are featured in *Prelude* or *Axanar*. Instead, the U.S.S. Enterprise and the Klingon D-7 Battlecruiser of Star Trek: The Original Series are each seen in one short shot lasting mere seconds. Other than these brief cameos, *Prelude* does not feature the

U.S.S. Enterprise and features different Klingon battlecruisers from those seen in any official Star Trek episode, film or other work. The battlecruisers featured in Prelude are original designs, created by Axanar VFX coordinator Tobias Richter.

We Never Earned Or Intended To Earn Any Profit From Prelude Or Axanar

- Both the production and promotion of *Prelude* and the unfinished *Axanar* 11. were funded by donations on Kickstarter, Indiegogo, and through PayPal. In exchange for donations on *Prelude*, we provided donors with perks that included various merchandise. The merchandise did not include any Star Trek marks, but was instead "Axanar" branded, not Star Trek branded.
- Defendants raised \$113,832.78 during their Kickstarter campaign 12. for *Prelude*, which had a production budget of \$149,731.20. Defendants raised \$1,233,964.84 during their Kickstarter and Indiegogo campaigns for the Potential Fan

Film,	which	has not been completed, but has already cost Defendants in excess of
		. Defendants not earned any profits from Defendants' Works,
		·
	13.	
	14.	

DECLARATION OF ALEC PETERS IN OPPOSITION TO PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT

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production of Axanar, blocked me on Facebook, and since that time, has continued to spread inaccuracies and hate about me through social media.

Prelude and **Axanar** Are Fan Films

- Any distinction I made between "fan films" Prelude or Axanar as 25. "professional" was made only to distinguish the quality of what we were trying to achieve from the majority of other projects within the fan film community. Accordingly, in the Axanar Annual Report, previously attached hereto as Exhibit 1, we stated that "after the success of *Prelude to Axanar*, it was clear that we had to do even better as our fans had now come to expect quite different from what they had seen in other fan films."
- 26. With respect to *Prelude* and *Axanar*, there were many instances in which both we referred to our works as "fan films," including:
 - a. A true and correct copy of an email I sent to Mallory Levitt seeking guidance from CBS in the area of fan films is attached hereto as Exhibit 3. This document was produced by Plaintiffs with Bates Range PL0013787-PL0013788.
 - b. A true and correct copy of a Facebook post I made mentioning the "Star Trek Fan Film Festival" at FedCon 2015, and the hope that the fan film festival would also be the European premiere of "Axanar," is attached hereto as **Exhibit 4**. This document was produced by Plaintiffs with Bates Number PL0013517.
 - c. A true and correct copy of an email from Marian Cordry of Plaintiff CBS Studios Inc., to John Van Citters discussing my "Star Trek Fan Film Festival" Facebook post, and stating that "the Fan Film bandwagon just keeps rolling on," is attached hereto as **Exhibit 5**. This document was produced by Plaintiffs with Bates Range PL0013502-PL0013503.

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- d. A true and correct copy of an Axanar Productions Press Release stating that "[t]wo major players in the universe of Star Trek fan films" planned to collaborate, and stressing that it was "important to work with other productions and share the spotlight with the entire fan film community," is attached hereto as Exhibit 6. This document is publicly available on axanarproductions.com.
- e. A true and correct copy of a Facebook response made by Axanar stating that as long as Axanar "or any fan film production, adheres to [CBS's] guidelines [regarding fan films] in good faith, then we have every hope that we'll be allowed to continue," is attached hereto as **Exhibit 7**. This document was produced by Plaintiffs with Bates Number PL0011822.
- f. A true and correct copy of a Facebook post made by Axanar stating that we "try and adhere strictly to [CBS's] guidelines, specifically not making a profit, not selling merchandise with on it (Like "Star Trek" or the Enterprise of the insignia chevron) and only taking donations to fund productions" and that "Our move to eliminate "Star Trek" branding is simply going an extra mile to respect the entity which allows Axanar to be made and honor the Star Trek franchise we all hold so dear," is attached hereto as This document, produced as "Confidential," was Exhibit 8. produced by Plaintiffs with Bates Number PL0008222.
- g. A true and correct copy of a blog post from the Axanar Productions website stating, "Why do you think no other fan film does conventions like Axanar does?" is attached hereto as Exhibit 9. This document was produced by Plaintiffs with Bates Number PL005718.

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- h. A true and correct copy of a blog post from the Axanar Productions website stating that, "[w]hile Axanar is more professional, and has raised more money than all other Star Trek fan films combined, we use less Star Trek IP than almost most of them," is attached hereto as **Exhibit 10**. This document was produced by Plaintiffs with Bates Range PL005973-PL005989.
- i. A true and correct copy of a document created in conjunction with Comic Con describing "Star Trek Axanar" as a "Star Trek Fan Film" is attached hereto as **Exhibit 11**. This document was produced by Plaintiffs with Bates Range PL0000106-PL0000134.
- j. A true and correct copy of an email I sent to Morgen Schneider stating that, "[m]y experience and a great team of people, is what has made Axanar the most successful and best Star Trek fan film project of all time," is attached hereto as Exhibit 12. This document, marked as "Confidential," was produced with Bates Number AX030370.
- Because I reported infringing activity to CBS in the past, in response to 27. which CBS took no action, this furthered by belief that I was acting within the realm of fan films tolerated by Plaintiffs. A true and correct copy of an Axanar Facebook post stating, "Think 'Axanar' was the first professional Star Trek fan film? Or was it 'Renegades'? 'Of Gods and Men'? Guess again. It was 'Star Trek: New Voyages' way back as early as 2006," is attached hereto as Exhibit 13. We produced this document with Bates Number AX035850. A true and correct copy of an Axanar Twitter post stating that subsequent to this lawsuit, "CBS shuts down another fan film," is attached hereto as Exhibit 14. We produced this document with Bates Number AX035927.
- Additionally, well after I contacted Plaintiff CBS for guidance on 28. adhering to fan film guidelines,

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2	A true and correct copy of an email from Leslie Ryan of Plaintiff CBS Studios
3	Inc. is attached hereto as Exhibit 15. This document, marked as "Confidential," was
4	produced by Plaintiffs with Bates Range PL0012814-PL0012816.
5	run
6	A true and correct copy of an email from Marian Cordry of Plaintiff CBS
7	Studios Inc. is attached hereto as Exhibit 16. This document, marked as
8	"Confidential," was produced by Plaintiffs with Bates Number PL0008689.
9	29. Ultimately, we only intended <i>Prelude</i> and <i>Axanar</i> to be high quality
10	additions to the fan film community. Given various delays and constantly-evolving
11	plot changes, we still do not even have a "final shooting script" for Axanar. A true
12	and correct copy of the script at issue, revision 7.7 of the script for the unmade
13	Axanar, dated November 26, 2016, was attached to the Grossman Decl. (Dkt. 72-2)
14	filed on November 16, 2016, as Exhibit M. Of the 57 characters that appear in the
15	most recent script of the unmade Axanar, there are only seven characters that have
16	appeared previously in any official Star Trek episode, film or other work. All seven
17	of those characters played minor roles.
18	30. A true and correct copy of revision 10.0-NX, the most-recent revision to
19	the script for the unmade Axanar, dated July 1, 2016, was attached to the Alec Peters
20	Declaration, filed on November 16, 2016 (Dkt. 75-19) as Exhibit 3.
21	31. I do not know of any fans who have stated that they would choose not to
22	watch any official Star Trek episode, film or other work, because they watched
23	Prelude or the Vulcan Scene, or because they donated to Axanar.
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1	I declare under the penalty of perjury that the foregoing is true and correct. Dated this
2	28th day of NOVENBER ,2016 at LOS ANGELES, CA .
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- 11	DECLARATION OF ALEC PETERS IN OPPOSITION TO PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT