DEFENDANTS' PROPOSED VERDICT FORM

Defendants Axanar Productions, Inc. and Alec Peters ("Defendants") hereby submit their proposed verdict form and respond to Plaintiffs' Objections to Defendants' Proposed Verdict Form.

## **QUESTION 1 – Standing: Plaintiff's Ownership or Exclusive License**

Answer this question for each Work listed on the chart below.

• Did Plaintiffs prove by a preponderance of evidence that one of them owns a valid copyright to the listed Work, or has an exclusive license to the Work?

For each Work listed below, under the "Proof of Ownership or Standing" heading:

Check "PARAMOUNT" if you find that Plaintiffs have proven by a
preponderance of the evidence that Plaintiff Paramount Pictures Corporation
owns a valid copyright to the listed Work, or has an exclusive license to the
Work.

- Check "CBS" if you find that Plaintiffs have proven by a preponderance of the evidence that Plaintiff CBS Studios Inc. owns a valid copyright to the listed Work, or has an exclusive license to the Work.

- Check "NOT PROVED" if you find that Plaintiffs have not proven by a preponderance of the evidence that either of them owns a valid copyright for the work.

#	Work	Proof of Ownership or Standing
1.	Star Trek – The Motion Picture	Paramount
		CBS
		Not proved
2.	Star Trek II – The Wrath of Khan	Paramount
		CBS
		Not proved

#	Work	Proof of Ownership of Standing
3.	Star Trek III The Search for Spock	Paramount
		CBS
		Not proved
4.	Star Trek IV: The Voyage Home	Paramount
		CBS
		Not proved
5.	Star Trek V: The Final Frontier	Paramount
		CBS
		Not proved
6.	Star Trek VI – The Undiscovered Country	Paramount
		CBS
7.	Star Trek Generations	Not proved Paramount
/.	Star frek Generations	<del></del>
		CBS Not proved
8.	Star Trek: First Contact	Paramount
0.	Star Frek. First Contact	CBS
		Not proved
9.	Star Trek: Insurrection	Paramount
J.	Star Freit. Insurrection	CBS
		Not proved
10.	Star Trek Nemesis	Paramount
		CBS
		Not proved
11.	Star Trek	Paramount
		CBS
		Not proved
12.	Star Trek Into Darkness	Paramount
		CBS
		Not proved
13.	Garth of Izar (book)	Paramount
		CBS
		Not proved
14.	The Original Series episode "Whom Gods Destroy"	Paramount
		CBS
15		Not proved
15.	The Original Series episode "Patterns of Force"	Paramount
		CBS
1.0	The Original Carias ariseds "The Ca"	Not proved
16.	The Original Series episode "The Cage"	Paramount
		CBS
17.	The Original Series episode "Errand of Mercy"	Not proved Paramount
1/.	The Original Series episode Effalla of Mercy	CBS
		Not proved
		110t proved

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$\  \ $	#	Work	Proof of Ownership or
$\  \ $	18.	The Original Series episode "Where No Man Has Gone	Standing Paramount
$\  \ $	10.	Before"	CBS
$\  \ $		Delote	Not proved
	19.	The Original Series episode "The Savage Curtain"	Paramount
	13.	The original series episode. The savage eartain	CBS
			Not proved
	20.	The Original Series episode "The Man Trap"	Paramount
			CBS
			Not proved
	21.	The Original Series episode "Amok Time"	Paramount
			CBS
			Not proved
	22.	The Original Series episode "Space Seed"	Paramount
			CBS
			Not proved
	23.	The Original Series episode "Balance of Terror"	Paramount
			CBS
			Not proved
	24.	The Original Series episode "The Menagerie Part I"	Paramount
			CBS
	25		Not proved
	25.	The Original Series episode "The Menagerie Part II"	Paramount
			CBS
	26.	The Original Carias anisada "Court Martial"	Not proved
	20.	The Original Series episode "Court Martial"	Paramount CBS
			Not proved
	27.	The Original Series episode "Journey to Babel"	Paramount
	۷1.	The Original Series episode Southey to babel	CBS
			Not proved
	28.	The Original Series episode "Day of the Dove"	Paramount
		l l l l l l l l l l l l l l l l l l l	CBS
			Not proved
	29.	The Original Series episode "Tomorrow is Yesterday"	Paramount
		,	CBS
			Not proved
	30.	The Original Series episode "The Doomsday Machine"	Paramount
			CBS
			Not proved
	31.	The Original Series episode "Elaan of Troyius"	Paramount
			CBS
			Not proved
	32.	The Original Series episode "The Lights of Zetar"	Paramount
$\  \ $			CBS
			Not proved

#	Work	Proof of Ownership of Standing
33.	The Original Series episode "The Alternative Factor"	Paramount
		CBS
		Not proved
34.	The Original Series episode "And The Children Shall Lead"	Paramount
		CBS
		Not proved
35.	The Original Series episode "A Taste of Armageddon"	Paramount
		CBS
		Not proved
36.	Enterprise episode "Shockwave, Part II"	Paramount
		CBS
		Not proved
37.	Enterprise pilot episode "Broken Bow"	Paramount
		CBS
38.	Enterprise enisede "The Evpanse"	Not proved
38.	Enterprise episode "The Expanse"	Paramount
		CBS Not proved
39.	Enterprise episode "Kir'shara"	Paramount
39.	Enterprise episode Kii shara	CBS
		Not proved
40.	Enterprise episode "Two Days and Two Nights"	Paramount
10.	Enterprise episode Two bays and Two Mights	CBS
		Not proved
41.	Enterprise episode "Bounty"	Paramount
		CBS
		Not proved
42.	Enterprise episode "United"	Paramount
		CBS
		Not proved
43.	Enterprise episode "Unexpected."	Paramount
		CBS
		Not proved
44.	Enterprise episode "Fortunate Son."	Paramount
		CBS
		Not proved
45.	Enterprise episode "The Aenar."	Paramount
		CBS
		Not proved
46.	Enterprise episode "Babel One"	Paramount
		CBS
47		Not proved
47.	Enterprise episode "These Are The Voyages"	Paramount
		CBS
1		Not proved

	#	Work	Proof of Ownership or
			Standing
	48.	Star Trek: The Next Generation episode "Sarek"	Paramount
			CBS
			Not proved
	49.	Star Trek: The Next Generation episode "Unification, Part I"	Paramount
			CBS
	F.0		Not proved
	50.	Star Trek: The Next Generation episode "Sins of the Father"	Paramount
			CBS
Ш	Г1	Star Trake The Next Congration enisode "Compriton Spare"	Not proved
	51.	Star Trek: The Next Generation episode "Samaritan Snare"	Paramount
			CBS Not proved
	52.	Star Trek: The Next Generation episode "The Naked Now"	Paramount
	JZ.	Stal Trek. The Next Generation episode The Naked Now	CBS
			Not proved
	53.	Star Trek: The Next Generation episode "Conspiracy"	Paramount
	<i>JJ</i> .	Star frek. The Next deficiation episode conspiracy	CBS
			Not proved
	54.	The Animated Series episode "The Counter-Clock Incident."	Paramount
	·	The first section of the section of	CBS
			Not proved
	55.	Deep Space Nine (entire series)	Paramount
			CBS
			Not proved
	56.	Voyager (entire series)	Paramount
			CBS
			Not proved
	57.	Strangers from the Sky (book)	Paramount
			CBS
			Not proved
	58.	Infinity's Prism (book)	Paramount
			CBS
Ш			Not proved

If you checked "NOT PROVED" for any Work, then you are finished with that Work.

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If you checked "PARAMOUNT" or "CBS" for any work, then proceed to the next question on those works only.

#### **OUESTION 2 – Copying and Substantial Similarity by Defendants**

Answer the following questions for each Work for which you answered "PARAMOUNT" or "CBS" to Question 1. DO NOT ANSWER the questions for a Work where you answered "NOT PROVED" to Question 1.

Mark your answers in the table provided on the page below.

#### "Prelude to Axanar"

- Did Plaintiffs prove by a preponderance of the evidence that "Prelude to Axanar" copies protectable expression from the Work, such that "Prelude to Axanar" is substantially similar to the Work? If so, check "YES" under the heading "Copying and substantial similarity 'Prelude to Axanar." Otherwise, check "NO."
  - o Proceed to the next question.

#### "Axanar"

• Did Plaintiffs prove by a preponderance of the evidence that "Axanar" copies protectable expression from the Work, such that "Axanar" is substantially similar to the Work? If so, check "YES" under the heading "Copying and substantial similarity – 'Axanar." Otherwise, check "NO."

#	Work	Copying and substantial similarity — "Prelude to Axanar"	Copying and substantial similarity — "Axanar"
1.	Star Trek – The Motion Picture	Yes: No:	Yes: No:
2.	Star Trek II – The Wrath of Khan	Yes: No:	Yes: No:
3.	Star Trek III The Search for Spock	Yes: No:	Yes: No:
4.	Star Trek IV: The Voyage Home	Yes: No:	Yes: No:
5.	Star Trek V: The Final Frontier	Yes: No:	Yes: No:
6.	Star Trek VI – The Undiscovered	Yes: No:	Yes: No:
	Country		

1	# Work		Copying and substantial similarity	Copying and substantial similarity
2   3			— "Prelude to Axanar"	—
	7.	Star Trek Generations	Yes: No:	Yes: No:
4	8.	Star Trek: First Contact	Yes: No:	Yes: No:
5	9.	Star Trek: Insurrection	Yes: No:	Yes: No:
	10.	Star Trek Nemesis	Yes: No:	Yes: No:
6	11.	Star Trek	Yes: No:	Yes: No:
7	12.	Star Trek Into Darkness	Yes: No:	Yes: No:
	13.	Garth of Izar (book)	Yes: No:	Yes: No:
8	14.	The Original Series episode	Yes: No:	Yes: No:
9		"Whom Gods Destroy"		
	15.	The Original Series episode	Yes: No:	Yes: No:
10		"Patterns of Force"	N/ NI	N/
11	16.	The Original Series episode "The Cage"	Yes: No:	Yes: No:
12	17.	The Original Series episode "Errand of Mercy"	Yes: No:	Yes: No:
13	18.	The Original Series episode	Yes: No:	Yes: No:
14		"Where No Man Has Gone Before"		
15	19.	The Original Series episode "The Savage Curtain"	Yes: No:	Yes: No:
16	20.	The Original Series episode "The	Yes: No:	Yes: No:
17		Man Trap"	Yes: No:	Yes: No:
18	21.	The Original Series episode "Amok Time"		
19	22.	The Original Series episode "Space Seed"	Yes: No:	Yes: No:
20	23.	The Original Series episode	Yes: No:	Yes: No:
21	2.4	"Balance of Terror"	Yes: No:	Yes: No:
22	24.	The Original Series episode "The Menagerie Part I"		
23	25.	The Original Series episode "The Menagerie Part II"	Yes: No:	Yes: No:
24	26.	The Original Series episode "Court Martial"	Yes: No:	Yes: No:
25	27.	The Original Series episode "Journey to Babel"	Yes: No:	Yes: No:
26	28.	The Original Series episode "Day	Yes: No:	Yes: No:
27		of the Dove"	\\/ \\\	No.
28	29.	The Original Series episode "Tomorrow is Yesterday"	Yes: No:	Yes: No:

1	# Work Co		Copying and substantial	Copying and substantial
2			similarity —	similarity —
3			"Prelude to Axanar"	"Axanar"
4	30.	The Original Series episode "The Doomsday Machine"	Yes: No:	Yes: No:
5	31.	The Original Series episode "Elaan of Troyius"	Yes: No:	Yes: No:
6	32.	The Original Series episode "The	Yes: No:	Yes: No:
7	33.	Lights of Zetar"  The Original Series episode "The	Yes: No:	Yes: No:
8	34.	Alternative Factor"  The Original Series episode "And	Yes: No:	Yes: No:
9		The Children Shall Lead"	Yes: No:	Yes: No:
10	35.	The Original Series episode "A Taste of Armageddon"		
11 12	36.	Enterprise episode "Shockwave, Part II"	Yes: No:	Yes: No:
13	37.	Enterprise pilot episode "Broken Bow"	Yes: No:	Yes: No:
	38.	Enterprise episode "The Expanse"	Yes: No:	Yes: No:
14	39.	Enterprise episode "Kir'shara"	Yes: No:	Yes: No:
15	40.	Enterprise episode "Two Days and Two Nights"	Yes: No:	Yes: No:
16	41.	Enterprise episode "Bounty"	Yes: No:	Yes: No:
17	42.	Enterprise episode "United"	Yes: No:	Yes: No:
1 /	43.	Enterprise episode "Unexpected."	Yes: No:	Yes: No:
18	44.	Enterprise episode "Fortunate Son."	Yes: No:	Yes: No:
19	45.	Enterprise episode "The Aenar."	Yes: No:	Yes: No:
20	46.	Enterprise episode "Babel One"	Yes: No:	Yes: No:
21	47.	Enterprise episode "These Are The Voyages…"	Yes: No:	Yes: No:
22	48.	Star Trek: The Next Generation episode "Sarek"	Yes: No:	Yes: No:
23	49.	Star Trek: The Next Generation episode "Unification, Part I"	Yes: No:	Yes: No:
24	50.	Star Trek: The Next Generation	Yes: No:	Yes: No:
25		episode "Sins of the Father"	Voc. No.	Vac. No.
26	51.	Star Trek: The Next Generation episode "Samaritan Snare"	Yes: No:	Yes: No:
27	52.	Star Trek: The Next Generation episode "The Naked Now"	Yes: No:	Yes: No:
28	53.	Star Trek: The Next Generation episode "Conspiracy"	Yes: No:	Yes: No:
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#	Work	Copying and substantial similarity — "Prelude to Axanar"	Copying and substantial similarity — "Axanar"
54.	The Animated Series episode "The Counter-Clock Incident."	Yes: No:	Yes: No:
55.	Deep Space Nine (entire series)	Yes: No:	Yes: No:
56.	Voyager (entire series)	Yes: No:	Yes: No:
57.	Strangers from the Sky (book)	Yes: No:	Yes: No:
58.	Infinity's Prism (book)	Yes: No:	Yes: No:

#### **QUESTION 3 – Defendants' Affirmative Defenses**

Answer each of the following questions for each Work for which you answered "YES" about Proof of Ownership or Standing (Question 1), *and* you answered "YES" about Copying and Substantial Similarity.

#### Mark your answers in the table provided on the page below.

- Did Defendants prove by a preponderance of the evidence that they received consent, acquiescence, or acknowledgement and ratification from the Plaintiffs to use all or some of the Works?
  - o Answer either "YES" or "NO" in the column labeled "Consent."
- Did Defendants prove by a preponderance of the evidence that their use was authorized by the Plaintiffs or their agents?
  - o Answer either "YES" or "NO" in the column labeled "Authorized Use."
- Did Defendants prove by a preponderance of the evidence that Plaintiffs waived some or all of their claims, as to one or more Works?
  - o Answer either "YES" or "NO" in the column labeled "Waiver."
- Did Defendants prove by a preponderance of the evidence that the Plaintiffs should be estopped from bringing their copyright infringement claims?
  - o Answer either "YES" or "NO" in the column labeled "Estoppel."

- Did Defendants prove by a preponderance of the evidence that Plaintiffs have unclean hands?
  - o Answer either "YES" or "NO" in the column labeled "Unclean Hands."
- Did Defendants prove by a preponderance of the evidence that Plaintiffs have misused their copyrights for improper purposes?
  - o Answer either "YES" or "NO" in the column labeled "(c) Misuse."

#	Work	Consent	Authorized Use	Waiver	Estoppel	Unclean Hands	(c) Misuse
1.	Star Trek – The	Yes	Yes	Yes	Yes	Yes	Yes
	Motion Picture	No	No	No	No	No	No
2.	Star Trek II – The	Yes	Yes	Yes	Yes	Yes	Yes
	Wrath of Khan	No	No	No	No	No	No
3.	Star Trek III The	Yes	Yes	Yes	Yes	Yes	Yes
	Search for Spock	No	No	No	No	No	No
4.	Star Trek IV: The	Yes	Yes	Yes	Yes	Yes	Yes
	Voyage Home	No	No	No	No	No	No
5.	Star Trek V: The Final	Yes	Yes	Yes	Yes	Yes	Yes
	Frontier	No	No	No	No	No	No
6.	Star Trek VI – The	Yes	Yes	Yes	Yes	Yes	Yes
	Undiscovered	No	No	No	No	No	No
	Country						
7.	Star Trek Generations	Yes	Yes	Yes	Yes	Yes	Yes
	Ctan Tual. Ciuat	No Yes	No Yes	No Yes	No Yes	No Yes	No Yes
8.	Star Trek: First	No	No No	No	No	No	No
<u> </u>	Contact	Yes	Yes	Yes	Yes	Yes	Yes
9.	Star Trek:	No	No	No	No	$-\frac{1}{N_0}$	No
10.	Insurrection	Yes	Yes	Yes	Yes	Yes	Yes
10.	Star Trek Nemesis	No No	No	No	No	No	No
11.	Star Trek	Yes	Yes	Yes	Yes	Yes	Yes
		No	No	No	No	No	No
12.	Star Trek Into	— Yes No	Yes No	Yes No	— Yes No	— Yes No	Yes No
	Darkness						
13.	Garth of Izar (book)	Yes No	Yes No	Yes No	Yes No	Yes	— Yes No
14.	The Original Series	Yes	Yes	Yes	Yes	Yes	Yes
	episode "Whom Gods	No	No	No	No	No	No
	Destroy"						
<u> </u>	Destroy				<u> </u>		<u> </u>

1	#	Work	Consent	Authorized	Waiver	Estoppel	Unclean	(c)
2			Yes	Use Yes	Yes	Yes	Hands Yes	Misuse Yes
3	15.	The Original Series episode "Patterns of Force"	res No	No	No	No	No	No
5	16.	The Original Series episode "The Cage"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
6	17.	The Original Series episode "Errand of	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
7	1.0	Mercy"	Yes	Yes	Yes	Yes	Yes	Yes
8	18.	The Original Series episode "Where No Man Has Gone	No	No	No	No	No	No
9		Before"						
10	19.	The Original Series episode "The Savage	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
11	20.	Curtain" The Original Series	Yes	Yes	Yes	Yes	Yes	Yes
12 13	20.	episode "The Man Trap"	No	No	No	No	No	No
14	21.	The Original Series episode "Amok Time"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
15	22.	The Original Series episode "Space Seed"	Yes	Yes No	Yes No	Yes	Yes No	Yes
16 17	23.	The Original Series episode "Balance of Terror"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
18 19	24.	The Original Series episode "The	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
20	25.	Menagerie Part I" The Original Series episode "The	Yes No	Yes No	Yes No	Yes No	Yes	Yes
21	26.	Menagerie Part II" The Original Series	Yes	Yes	Yes	Yes	Yes	Yes
22		episode "Court Martial"	No	No	No	No	No	No
23 24	27.	The Original Series episode "Journey to	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
25	20	Babel"	Yes	Yes	Yes	Yes	Yes	Yes
26	28.	The Original Series episode "Day of the Dove"	No	No	No	No	No	No
27 28	29.	The Original Series episode "Tomorrow is Yesterday"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
		13 Testerday						

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1	#	Work	Consent	Authorized	Waiver	Estoppel	Unclean	(c)
2			37	Use	37	37	Hands	Misuse
3	30.	The Original Series episode "The	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
5	31.	Doomsday Machine" The Original Series episode "Elaan of	Yes	Yes No	Yes No	Yes No	Yes No	Yes
		Troyius"						
6 7	32.	The Original Series episode "The Lights of Zetar"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
8 9	33.	The Original Series episode "The Alternative Factor"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
10 11	34.	The Original Series episode "And The Children Shall Lead"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
12	35.	The Original Series episode "A Taste of	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
13 14	36.	Armageddon"  Enterprise episode  "Shockwave, Part II"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
15	37.	Enterprise pilot episode "Broken	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
16 17	38.	Bow" Enterprise episode "The Expanse"	Yes No	Yes No	Yes	Yes	Yes	Yes No
18	39.	Enterprise episode "Kir'shara"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
19 20	40.	Enterprise episode "Two Days and Two Nights"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
21	41.	Enterprise episode "Bounty"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
22   23	42.	Enterprise episode "United"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
24	43.	Enterprise episode "Unexpected."	Yes No	Yes No	Yes No	Yes No	Yes No	Yes
25	44.	Enterprise episode "Fortunate Son."	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
26	45.	Enterprise episode "The Aenar."	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No
27 28	46.	Enterprise episode "Babel One"	Yes No	Yes No	Yes No	Yes No	Yes No	Yes No

(c)
Misuse
Yes
No

\_ Yes \_ No

\_\_\_ Yes \_\_\_ No

\_\_ Yes \_\_ No

> Yes No

> Yes No

> Yes No

Yes No

Yes No Yes No Yes No Yes

1	#	Work	Consent	Authorized	Waiver	Estoppel	Unclean	
2				Use			Hands	
3	47.	Enterprise episode "These Are The	Yes No	Yes No	Yes No	Yes No	Yes No	
4		Voyages"						
5	48.	Star Trek: The Next Generation episode	Yes No	Yes No	Yes No	Yes No	Yes No	
		"Sarek"						
6	49.	Star Trek: The Next	Yes	Yes	Yes	Yes	Yes	
7		Generation episode "Unification, Part I"	No	No	No	No	No	
8	50.	Star Trek: The Next	Yes	Yes	Yes	Yes	Yes	Ī
9		Generation episode "Sins of the Father"	No	No	No	No	No	
10	51.	Star Trek: The Next	Yes	Yes	Yes	Yes	Yes	Ī
		Generation episode	No	No	No	No	No	
11		"Samaritan Snare"						
12	52.	Star Trek: The Next	Yes	Yes	Yes	Yes	Yes	Γ
12		Generation episode	No	No	No	No	No	
13		"The Naked Now"						
1.4	53.	Star Trek: The Next	Yes	Yes	Yes	Yes	Yes	
14		Generation episode	No	No	No	No	No	
15		"Conspiracy"	**	***	• •	***	• •	L
1.	54.	The Animated Series	Yes	Yes No	Yes No	— Yes No	Yes No	
16		episode "The	1	10	110	110	110	
17		Counter-Clock Incident."						
18	55.	Deep Space Nine	Yes	Yes	Yes	Yes	Yes	
		(entire series)	No	No	No	No	No	
19	56.	Voyager (entire series)	Yes No	Yes No	Yes No	Yes No	Yes No	
20	57.	Strangers from the	Yes	Yes	Yes	Yes	Yes	ľ
21		Sky (book)	No	No	No	No	No	
22	58.	Infinity's Prism (book)	Yes No	Yes No	Yes No	Yes No	Yes No	

#### **QUESTION 4 – LIABILITY**

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Plaintiffs have brought copyright claims against two defendants: Axanar Productions, Inc., which is a company, and Alec Peters, an individual. For each Work that you have determined is infringed, you must now determine which of the Defendants is liable for the infringement.

 Did Plaintiffs prove by a preponderance of the evidence that
 Defendant Alec Peters should be vicariously liable for infringement of the Work?

#	Work	Party Liable for Direct Infringement	Contributorily liable?	Vicariously liable
1.	Star Trek – The Motion	Axanar Prods	Not Applicable	Not Applicable
1.	Picture	Alec Peters	Axanar Prods	Axanar Prods
	ricture	Not Infringed	Alec Peters	Alec Peters
2	C. T. I. II. T. 147 II.	Axanar Prods	Not Applicable	
2.	Star Trek II – The Wrath		Axanar Prods	Not Applicabl
	of Khan	Alec Peters		Also Peters
		Not Infringed	Alec Peters	Alec Peters
3.	Star Trek III The Search	Axanar Prods	Not Applicable	Not Applicable
	for Spock	Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
4.	Star Trek IV: The	Axanar Prods	Not Applicable	Not Applicable
	Voyage Home	Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
5.	Star Trek V: The Final	Axanar Prods	Not Applicable	Not Applicab
	Frontier	Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
6.	Star Trek VI – The	Axanar Prods	Not Applicable	Not Applicab
	Undiscovered Country	Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
7.	Star Trek Generations	Axanar Prods	Not Applicable	Not Applicab
		Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
8.	Star Trek: First Contact	Axanar Prods	Not Applicable	Not Applicab
		Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
9.	Star Trek: Insurrection	Axanar Prods	Not Applicable	Not Applicab
		Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
10.	Star Trek Nemesis	Axanar Prods	Not Applicable	Not Applicab
10.	Star Freit Wernesis	Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters
11.	Star Trek	Axanar Prods	Not Applicable	Not Applicab
тт.	Jul 11CK	Alec Peters	Axanar Prods	Axanar Prods
		Not Infringed	Alec Peters	Alec Peters

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	#	Work	Party Liable for Direct Infringement	Contributorily liable?	Vicariously liable?
2	12.	Star Trek Into Darkness	Axanar Prods	Not Applicable	Not Applicable
3	12.	Star Hek IIIto Darkiless	Alec Peters	Axanar Prods	Axanar Prods
			Not Infringed	Alec Peters	Alec Peters
4	13.	Garth of Izar (book)	Axanar Prods	Not Applicable	Not Applicable
5	15.	Gartir or izar (book)	Alec Peters	Axanar Prods	Axanar Prods
			Not Infringed	Alec Peters	Alec Peters
6	14.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
7		episode "Whom Gods	Alec Peters	Axanar Prods	Axanar Prods
$_{8}\parallel$		Destroy"	Not Infringed	Alec Peters	Alec Peters
°	15.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
9		episode "Patterns of	Alec Peters	Axanar Prods	Axanar Prods
$0 \parallel$		Force"	Not Infringed	Alec Peters	Alec Peters
.	16.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
1		episode "The Cage"	Alec Peters	Axanar Prods	Axanar Prods
$_{2}\parallel$			Not Infringed	Alec Peters	Alec Peters
	17.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
3		episode "Errand of	Alec Peters	Axanar Prods	Axanar Prods
$_{4}\parallel$		Mercy"	Not Infringed	Alec Peters	Alec Peters
	18.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
5		episode "Where No	Alec Peters	Axanar Prods	Axanar Prods
6		Man Has Gone Before"	Not Infringed	Alec Peters	Alec Peters
_	19.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
7		episode "The Savage	Alec Peters	Axanar Prods	Axanar Prods
8		Curtain"	Not Infringed	Alec Peters	Alec Peters
9	20.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
.9		episode "The Man	Alec Peters	Axanar Prods	Axanar Prods
20		Trap"	Not Infringed	Alec Peters	Alec Peters
$_{1}\parallel$	21.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
'1		episode "Amok Time"	Alec Peters	Axanar Prods	Axanar Prods
2			Not Infringed	Alec Peters	Alec Peters
23	22.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
		episode "Space Seed"	Alec Peters	Axanar Prods	Axanar Prods
4			Not Infringed	Alec Peters	Alec Peters
25	23.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
		episode "Balance of	Alec Peters	Axanar Prods	Axanar Prods
26		Terror"	Not Infringed	Alec Peters	Alec Peters
$_{27} \parallel$	24.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
· []		episode "The	Alec Peters	Axanar Prods	Axanar Prods
$_{28}\parallel$		Menagerie Part I"	Not Infringed	Alec Peters	Alec Peters

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	#	Work	Party Liable for Direct Infringement	Contributorily liable?	Vicariously liable?
2	25.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
3		episode "The	Alec Peters	Axanar Prods	Axanar Prods
4		Menagerie Part II"	Not Infringed	Alec Peters	Alec Peters
4	26.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
5		episode "Court Martial"	Alec Peters	Axanar Prods	Axanar Prods
6			Not Infringed	Alec Peters	Alec Peters
	27.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
7		episode "Journey to	Alec Peters	Axanar Prods	Axanar Prods
8		Babel"	Not Infringed	Alec Peters	Alec Peters
	28.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
9		episode "Day of the	Alec Peters	Axanar Prods	Axanar Prods
10		Dove"	Not Infringed	Alec Peters	Alec Peters
11	29.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
11		episode "Tomorrow is	Alec Peters	Axanar Prods	Axanar Prods
12		Yesterday"	Not Infringed	Alec Peters	Alec Peters
13	30.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
13		episode "The	Alec Peters	Axanar Prods	Axanar Prods
14		Doomsday Machine"	Not Infringed	Alec Peters	Alec Peters
15	31.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
		episode "Elaan of	Alec Peters	Axanar Prods	Axanar Prods
16		Troyius"	Not Infringed	Alec Peters	Alec Peters
17	32.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
		episode "The Lights of Zetar"	Alec Peters	Axanar Prods	Axanar Prods
18			Not Infringed	Alec Peters	Alec Peters
19	33.	The Original Series	Axanar Prods	Not Applicable	Not Applicable
		episode "The Alternative Factor"	Alec Peters Not Infringed	Axanar Prods Alec Peters	Axanar Prods Alec Peters
20			Axanar Prods	Not Applicable	Not Applicable
21	34.	The Original Series episode "And The	Axailai Flods Alec Peters	Axanar Prods	Axanar Prods
		Children Shall Lead"	Not Infringed	Alec Peters	Akanai Flous Alec Peters
22	<u></u>		Axanar Prods	Not Applicable	Not Applicable
23	35.	The Original Series episode "A Taste of	Alec Peters	Not Applicable Axanar Prods	Axanar Prods
24		Armageddon"	Not Infringed	Alec Peters	Alec Peters
	36.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
25	] 30.	"Shockwave, Part II"	Alec Peters	Axanar Prods	Axanar Prods
26		Silvave, i di cii	Not Infringed	Alec Peters	Alec Peters
	37.	Enterprise pilot episode	Axanar Prods	Not Applicable	Not Applicable
27		"Broken Bow"	Alec Peters	Axanar Prods	Axanar Prods
28			Not Infringed	Alec Peters	Alec Peters
		1	<u> </u>		
	1		17		

$\begin{bmatrix} 1 \\ 2 \end{bmatrix}$	#	Work	Party Liable for Direct Infringement	Contributorily liable?	Vicariously liable?
	38.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
3		"The Expanse"	Alec Peters	Axanar Prods	Axanar Prods
4			Not Infringed	Alec Peters	Alec Peters
	39.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
5		"Kir'shara"	Alec Peters	Axanar Prods	Axanar Prods
6			Not Infringed	Alec Peters	Alec Peters
	40.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
7		"Two Days and Two	Alec Peters	Axanar Prods	Axanar Prods
8		Nights"	Not Infringed	Alec Peters	Alec Peters
	41.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
9		"Bounty"	Alec Peters	Axanar Prods	Axanar Prods
10			Not Infringed	Alec Peters	Alec Peters
	42.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
11		"United"	Alec Peters	Axanar Prods	Axanar Prods
12			Not Infringed	Alec Peters	Alec Peters
	43.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
13		"Unexpected."	Alec Peters	Axanar Prods	Axanar Prods
14			Not Infringed	Alec Peters	Alec Peters
1.5	44.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
15		"Fortunate Son."	Alec Peters	Axanar Prods	Axanar Prods
16			Not Infringed	Alec Peters	Alec Peters
17	45.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
17		"The Aenar."	Alec Peters	Axanar Prods	Axanar Prods
18			Not Infringed	Alec Peters	Alec Peters
10	46.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
19		"Babel One"	Alec Peters	Axanar Prods	Axanar Prods
20			Not Infringed	Alec Peters	Alec Peters
21	47.	Enterprise episode	Axanar Prods	Not Applicable	Not Applicable
21		"These Are The	Alec Peters	Axanar Prods	Axanar Prods
22		Voyages"	Not Infringed	Alec Peters	Alec Peters
23	48.	Star Trek: The Next	Axanar Prods	Not Applicable	Not Applicable
23		Generation episode	Alec Peters	Axanar Prods	Axanar Prods
24		"Sarek"	Not Infringed	Alec Peters	Alec Peters
25	49.	Star Trek: The Next	Axanar Prods	Not Applicable	Not Applicable
		Generation episode	Alec Peters	Axanar Prods	Axanar Prods
26		"Unification, Part I"	Not Infringed	Alec Peters	Alec Peters
27	50.	Star Trek: The Next	Axanar Prods	Not Applicable	Not Applicable
		Generation episode	Alec Peters	Axanar Prods	Axanar Prods
28		"Sins of the Father"	Not Infringed	Alec Peters	Alec Peters
			18		

#	Work	Party Liable for Direct Infringement	Contributorily liable?	Vicariously liab
51.	Star Trek: The Next	Axanar Prods	Not Applicable	Not Applica
	Generation episode	Alec Peters	Axanar Prods	Axanar Pro
	"Samaritan Snare"	Not Infringed	Alec Peters	Alec Peters
52.	Star Trek: The Next	Axanar Prods	Not Applicable	Not Applica
	Generation episode	Alec Peters	Axanar Prods	Axanar Pro
	"The Naked Now"	Not Infringed	Alec Peters	Alec Peters
53.	Star Trek: The Next	Axanar Prods	Not Applicable	Not Applica
	Generation episode	Alec Peters	Axanar Prods	Axanar Pro
	"Conspiracy"	Not Infringed	Alec Peters	Alec Peters
54.	The Animated Series	Axanar Prods	Not Applicable	Not Applica
	episode "The Counter-	Alec Peters	Axanar Prods	Axanar Pro
	Clock Incident."	Not Infringed	Alec Peters	Alec Peters
55.	Deep Space Nine	Axanar Prods	Not Applicable	Not Applica
	(entire series)	Alec Peters	Axanar Prods	Axanar Pro
		Not Infringed	Alec Peters	Alec Peters
56.	Voyager (entire series)	Axanar Prods	Not Applicable	Not Applica
		Alec Peters	Axanar Prods	Axanar Pro
		Not Infringed	Alec Peters	Alec Peters
57.	Strangers from the Sky	Axanar Prods	Not Applicable	Not Applica
	(book)	Alec Peters	Axanar Prods	Axanar Pro
		Not Infringed	Alec Peters	Alec Peters
58.	Infinity's Prism (book)	Axanar Prods	Not Applicable	Not Applica
		Alec Peters	Axanar Prods	Axanar Pro
		Not Infringed	Alec Peters	Alec Peters

For each Work that you have determined is infringed, you must award actual damages and, as an alternative, statutory damages.

Answer the following questions for a Work if, and only if:

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- You found that Plaintiffs have standing with respect to the Work (either because they own the copyright for the work, or because they have an exclusive license for the work); and
- You found that protectable expression from the Work was copied by one or more of Defendants' works, *and* that Defendant's work is substantially

similar to the Work; and

- You answered "NO" to each question about the Work under Question 3.

Otherwise, DO NOT answer this question for any such Work.

Mark your answers in the table provided on the page below.

- For each Work listed below, what were the actual damages suffered by each of the Plaintiffs as a result of the infringement, together with any profits of Defendants that are attributable to the infringement and are not taken into account in computing the actual damages?
  - If you find that Defendants have proven by a preponderance of the evidence that the Plaintiffs failed to mitigate their damages for any Work, you may reduce any award of damages as you find appropriate.
- For each Work listed below, what statutory damages do you award Plaintiffs for the infringed Work? You may award statutory damages not less than \$750, nor more than \$30,000 for each copyrighted Work that was infringed. However:
  - o If you find that Defendants have proven by a preponderance of the evidence that the infringement was innocent, you may award as little as \$200 for each Work that was innocently infringed.
  - If you find that Plaintiffs have proven by a preponderance of the evidence that the infringement was willful, you may award as much as \$150,000 for each Work that was willfully infringed.
  - If you find that Defendants have proven by a preponderance of the evidence that the Plaintiffs failed to mitigate their damages for any Work, you may reduce any award of damages as you find appropriate.

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1	#	Work	Amount of Plaintiffs' Actual Damages	Amount of Statutory Damages
2			Actual Damages	Awarded
3	1.	Star Trek – The Motion Picture	\$	\$
4	2.	Star Trek II – The Wrath of Khan	\$	\$
	3.	Star Trek III The Search for Spock	\$	\$
5	4.	Star Trek IV: The Voyage Home	\$	\$
6	5.	Star Trek V: The Final Frontier	\$	\$
0	6.	Star Trek VI – The Undiscovered Country	\$	\$
7	7.	Star Trek Generations	\$	\$
0	8.	Star Trek: First Contact	\$	\$
8	9.	Star Trek: Insurrection	\$	\$
9	10.	Star Trek Nemesis	\$	\$
	11.	Star Trek	\$	\$
10	12.	Star Trek Into Darkness	\$	\$
11	13.	Garth of Izar (book)	\$	\$
11	14.	The Original Series episode "Whom Gods	\$	\$
12		Destroy"		
12	15.	The Original Series episode "Patterns of	\$	\$
13		Force"		
14	16.	The Original Series episode "The Cage"	\$	\$
15	17.	The Original Series episode "Errand of Mercy"	\$	\$
1.	18.	The Original Series episode "Where No Man	\$	\$
16	10.	Has Gone Before"	Ψ	Ψ
17	19.	The Original Series episode "The Savage	\$	\$
18		Curtain"		
10	20.	The Original Series episode "The Man Trap"	\$	\$
19	21.	The Original Series episode "Amok Time"	\$	\$
20	22.	The Original Series episode "Space Seed"	\$	\$
20	23.	The Original Series episode "Balance of	\$	\$
21		Terror"		
	24.	The Original Series episode "The Menagerie	\$	\$
22		Part I"		
23	25.	The Original Series episode "The Menagerie	\$	\$
23		Part II"		
24	26.	The Original Series episode "Court Martial"	\$	\$
	27.	The Original Series episode "Journey to	\$	\$
25		Babel"		
26	28.	The Original Series episode "Day of the	\$	\$
		Dove"		
27	29.	The Original Series episode "Tomorrow is	\$	\$
28		Yesterday"		
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1	#	Work	<b>Amount of Plaintiffs'</b>	Amount of		
2			Actual Damages	Statutory Damages Awarded		
3	30.	The Original Series episode "The Doomsday Machine"	\$	\$		
4	31.	The Original Series episode "Elaan of Troyius"	\$	\$		
5	32.	The Original Series episode "The Lights of Zetar"	\$	\$		
7	33.	The Original Series episode "The Alternative Factor"	\$	\$		
8	34.	The Original Series episode "And The Children Shall Lead"	\$	\$		
9	35.	The Original Series episode "A Taste of Armageddon"	\$	\$		
10	36.	Enterprise episode "Shockwave, Part II"	\$	\$		
11	37.	Enterprise pilot episode "Broken Bow"	\$	\$		
	38.	Enterprise episode "The Expanse"	\$	\$		
12	39.	Enterprise episode "Kir'shara"	\$	\$		
13	40.	Enterprise episode "Two Days and Two Nights"	\$	\$		
14	41.	Enterprise episode "Bounty"	\$	\$		
1,-	42.	Enterprise episode "United"	\$	\$		
15	43.	Enterprise episode "Unexpected."	\$	\$		
16	44.	Enterprise episode "Fortunate Son."	\$	\$		
	45.	Enterprise episode "The Aenar."	\$	\$		
17	46.	Enterprise episode "Babel One"	\$	\$		
18	47.	Enterprise episode "These Are The Voyages"	\$	\$		
19	48.	Star Trek: The Next Generation episode "Sarek"	\$	\$		
20   21	49.	Star Trek: The Next Generation episode "Unification, Part I"	\$	\$		
22	50.	Star Trek: The Next Generation episode "Sins of the Father"	\$	\$		
23	51.	Star Trek: The Next Generation episode "Samaritan Snare"	\$	\$		
24	52.	Star Trek: The Next Generation episode "The Naked Now"	\$	\$		
<ul><li>25</li><li>26</li></ul>	53.	Star Trek: The Next Generation episode "Conspiracy"	\$	\$		
27	54.	The Animated Series episode "The Counter-Clock Incident."	\$	\$		
20	55.	Deep Space Nine (entire series)	\$	\$		
28	56.	Voyager (entire series)	\$	\$		
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1	#	Work	Amount of Plaintiffs' Actual Damages	Amount of Statutory Damages
2				Awarded
3	57. 58.	Strangers from the Sky (book) Infinity's Prism (book)	\$ \$	\$ \$
4	JO	milling 3 i fishii (book)	Ψ	<u> </u>
5				
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# PLAINTIFFS' OBJECTIONS TO DEFENDANTS' PROPOSED VERDICT FORM AND DEFENDANTS' RESPONSES

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# **Question 1 – Standing: Plaintiffs' Ownership or Exclusive License PLAINTIFFS' OBJECTIONS (Question 1):**

Plaintiffs object to this question because Defendants have already agreed that Plaintiffs are the owners of the works at issue. Further, the Court has ruled, consistent with Defendants' admissions, that the element of ownership has been established.

Inclusion of this question, which asks the jury to complete a chart occupying over four pages, is confusing, time consuming, and legally irrelevant.

#### **DEFENDANTS' RESPONSE (Question 1):**

Plaintiffs cite no authority or factual support for their objections. Defendants have not agreed that Plaintiffs are the owners of the works they allege, nor has the Court so ruled. ECF No. 163. Rather, the issue of ownership was not disputed for purposes of summary judgment. Id. That does not absolve Plaintiffs of the requirement to prove their ownership of a valid copyright to each work alleged in this case. Indeed, the Court explicitly recognized as the pretrial conference the need for Plaintiffs to put on evidence of ownership and copyright registrations. To establish a copyright infringement claim, a plaintiff must show, inter alia, "ownership of a valid copyright." Funky Films, Inc. v. Time Warner Entm't Co., L.P., 462 F.3d 1072, 1076 (9th Cir. 2006) (quoting Feist Pubs., Inc. v. Rural Tel. Serv. Co., 499 U.S. 340, 361 (1991)). Moreover, because Plaintiffs have persistently refused either to conclusively identify "the works at issue," or limit this case to only the works they have identified, it is nonsense to say that ownership of such works is undisputed. Plaintiffs' complaint that the chart "occup[ies] over four pages" is a red herring: providing the jury a clear, authoritative chart of the works at issue will ensure that the jury is *not* confused about the scope of this case, and will greatly simplify the jury's task of rendering at a just, well-reasoned decision concerning the works and claims actually at issue in this litigation.

### **Question 2 – Copying and Substantial Similarity**

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# **PLAINTIFFS' OBJECTIONS (Question 2):**

Vulcan Scene.

Plaintiffs object to this question because it is unnecessarily confusing, and misstates the legal standard for substantial similarity under the subjective test. This question also asks the jury to resolve matters already decided by the Court in its ruling on Plaintiffs' Motion for Summary Judgment, and omits without explanation the

The sole remaining responsibility of the jury is to decide if *Prelude to Axanar*,

the *Vulcan Scene*, and the script for the Axanar Motion Picture (collectively, the

"Axanar Works"), taken together and from the viewpoint of an ordinary reasonable

observer, are similar in concept and feel to Plaintiffs' copyrighted Star Trek works.

Defendants' proposed instruction does not refer to an ordinary reasonable observer, or

Defendants propose that the jury answer a complex, multi-part test, again in the form

of a chart spanning several pages, whereby they must determine substantial similarity

This question is misleading regarding the remaining inquiry for substantial

similarity while also being excessive in length and complexity. Additionally, the form

of the question would require Plaintiffs to present dozens of individual Star Trek

when the jury make their finding based on the Star Trek works as a whole.

**DEFENDANTS' RESPONSE (Question 2):** 

works to the jury, a time consuming and unnecessary expenditure of court resources

See e.g. Three Boys Music Corp. v. Bolton, 212 F.3d 477, 485 (9th Cir. 2000).

the concept and feel of the works, or define substantial similarity at all. Instead,

for each of dozens of Plaintiffs' copyrighted works. The chart, despite its length,

omits reference to the *Vulcan Scene*, but demands a finding on fair use, which the

Court has already decided is not a viable defense.

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neglect to mention that the parties exchanged proposed verdict forms before the

extrinsic (or objective) substantial similarity and fair use on summary judgment, they

Although Plaintiffs attempt to make much of the fact that the Court decided

Court's ruling issued. Defendants have submitted proposed instructions on copying and substantial similarity that reflect the Court's rulings, and will submit an amended proposed verdict form that reflects those rulings and omits the "fair use" column.

Nothwithstanding, Plaintiffs' objections are not well founded. As Plaintiffs' complaint, their pleadings throughout this case, and their proposed jury instructions make crystal clear, the "Vulcan Scene" is merely a part of, and thus subsumed by, the projected "Axanar Motion Picture." And Plaintiffs' objection to being "require[d] to present dozens of individual Star Trek works to the jury" is disingenuous: it would, of course, be impossible for the jury to "make their finding based on the Star Trek works" without being presented with the works. Furthermore, to the extent Plaintiffs intend to elect statutory damages pursuant to 17 U.S.C. § 504(c), Defendants note that Plaintiffs' objections here would perforce limit them to seeking a single award of statutory damages. Any other damages theory would be foreclosed by the Copyright Act, which provides that statutory damages may be awarded solely "with respect to any one work, for which any ... infringer is liable." 17 U.S.C. § 504(c)(1) (emphasis added). Plaintiffs thus may not present a single work ("the Star Trek works as a whole") for purposes of the subjective substantial similarity analysis, while reserving the right to seek damages for multiple works.

Finally, Plaintiffs' complaint about the chart provided is meritless for the reasons discussed above: providing the jury a clear, authoritative chart of the works at issue will ensure that the jury is *not* confused about the scope of this case, and will greatly simplify the jury's task of rendering at a just, well-reasoned decision concerning the works and claims actually at issue in this litigation.

# **Question 3 – Defendants' Affirmative Defenses**

### PLAINTIFFS' OBJECTIONS (Question 3):

Plaintiffs object to this question because it is confusing and asks the jury make findings on inapplicable, or equitable, legal theories. Defendants ask that the jury find, as to each of dozens of Plaintiffs' copyrighted works, whether the affirmative

defenses of consent, authorized use, waiver, estoppel, unclean hands, or copyright misuse apply the Axanar Works (here lumped together with no explanation).

Regarding consent and authorized use, Defendants appear to be asking the jury to decide if Defendants had an implied license in Plaintiffs' Star Trek works. Implied license requires that Defendants present evidence that, among other things, Defendants requested that Plaintiffs create Star Trek films and television shows, an absurd position for which the jury will receive no evidence.

Regarding waiver (abandonment), Defendants must present evidence that Plaintiffs intended to surrender their copyrights to the Star Trek works and performed some act evidencing that intent. *Micro Star v. Formgen, Inc.*, 154 F.3d 1107, 1114 (9th Cir. 1998). Defendants have no such evidence. Therefore, this instruction will only confuse the jury.

Unclean hands and copyright misuse are legally identical, and separating them into unique categories is unnecessarily confusing. *Altera Corp. v. Clear Logic, Inc.*, 424 F.3d 1079, 1090 (9th Cir. 2005) (recognizing the 5th Circuit's discussion of copyright misuse "as an unclean hands defense which forbids the use of the copyright to secure an exclusive right or limited monopoly not granted by the Copyright Office and which is contrary to the public policy to grant."). Furthermore, Defendants will present no evidence that Plaintiffs abused their copyrights by gaining commercial control over products they do not own, or otherwise.

Estoppel is an equitable defense for which there is no admissible evidence for the jury to review. *See Paramount Pictures Corp. v. Carol Publ'g Grp.*, 11 F. Supp. 2d 329, 337 (S.D.N.Y. 1998) (in rejecting the "estoppel by transitivity," the court noted that a copyright holder "is free to instigate legal action against whomever it wishes.").

For each of the affirmative defenses listed, the use of a chart demanding that the jury make findings on dozens of individual Star Trek works is confusing and wasteful of the Court's resources. For the jury to fill in this chart, Plaintiffs would be required

to present each of the referenced Star Trek works one at a time, an unnecessary expenditure of court resources. The jury may decide on any relevant affirmative defenses with regard to the Star Trek works as a whole.

#### **DEFENDANTS' RESPONSE (Question 3):**

Plaintiffs misstate the law concerning Defendants' affirmative defenses:

- Plaintiffs egregiously misstate the law concerning implied license, which does not require a showing that "Defendants requested that Plaintiffs create Star Trek films and television shows." Unsurprisingly, Plaintiffs provide no authority to support this position. Rather, "[a] license is a defense to a claim of copyright infringement," see Effects Assocs., Inc. v. Cohen, 908 F.2d 555, 558–59 (9th Cir. 1990), and a copyright owner may grant a nonexclusive license impliedly, through its conduct. Id. (citing 3 Melville B. Nimmer & David Nimmer, Nimmer On Copyright § 10.03[A] (1989)). An implied license can be found where the copyright holder engages in conduct "from which the other party may properly infer that the owner consents to his use." Field v. Google Inc., 412 F. Supp. 2d 1106, 1115–16 (D. Nev. 2006) (alterations and quotation marks omitted); see Keane Dealer Servs., Inc. v. Harts, 968 F. Supp. 944, 947 (S.D.N.Y. 1997) ("consent given in the form of mere permission or lack of objection is also equivalent to a nonexclusive license"). Moreover, Plaintiffs' speculation that Defendant's defense of consent is limited to an "implied license" defense lacks foundation.
- Plaintiffs' attempt to conflate "waiver" with "abandonment" lacks any basis. Contrary to Plaintiffs' contention, Defendants do not argue that Plaintiffs "abandoned" their copyrights. Plaintiffs also fail to object to Defendants' affirmative defense of waiver, which is plainly viable here. "Generally, a 'copyright owner who grants a nonexclusive license to use his copyrighted material waives his right to sue the licensee for copyright infringement." *Sun Microsystems, Inc. v. Microsoft Corp.*, 188 F.3d 1115, 1121 (9th Cir. 1999) (quoting *Graham v. James*, 144 F.3d 229, 236 (2d Cir. 1998)). A nonexclusive implied license may be implied from conduct or

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27 28 granted orally. Carson v. Dynegy, 344 F.3d 446, 451 n. 5 (5th Cir. 2003); and see supra, response to Plaintiffs' objection regarding Defendants' license defense.

- Plaintiffs' attempt to conflate the distinct doctrines of unclean hands and copyright misuse is unpersuasive and lacks a legal basis. As defined by the Ninth Circuit, the defense of copyright misuse "forbids the use of the copyright to secure an exclusive right or limited monopoly not granted by the Copyright Office." *Practice* Mgmt. Info. Corp. v. Am. Med. Ass'n, 121 F.3d 516, 520 (9th Cir. 1997), amended, 133 F.3d 1140 (9th Cir. 1998) (citing and quoting Lasercomb America, Inc. v. Reynolds, 911 F.2d 970, 977–79 (4th Cir. 1990)). The language Plaintiffs quote from Altera Corp. v. Clear Logic, Inc., 424 F.3d 1079, 1090 (9th Cir. 2005) is dicta, from a case in which the court determined that the defense did not apply. Moreover, Plaintiffs' objection that copyright misuse hinges on an attempt to "gain commercial" control over products they do not own" has no basis, nor do Plaintiffs cite any supporting authority.
- Plaintiffs' objection to the defense of so-called "estoppel by transitivity" is irrelevant, since that is not a defense in this case. And *estoppel* requires a factual inquiry into "[f]our elements ... 1) the party to be estopped must know the facts; 2) he must intend that his conduct shall be acted on or must so act that the party asserting the estoppel has a right to believe it is so intended; 3) the latter must be ignorant of the true facts; and 4) he must rely on the former's conduct to his injury." *United States v.* King Features Entm't, Inc., 843 F.2d 394, 399 (9th Cir. 1988) (citation omitted). Plaintiffs do not—and cannot—dispute that estoppel is a viable defense to copyright infringement in the Ninth Circuit. Id.; see also Kramer v. From The Heart Prods., Inc., 300 F. App'x 555, 557 (9th Cir. 2008) (finding "facts ... sufficient to establish an estoppel defense" and upholding determination that plaintiffs were "estopped from bringing any copyright claims against [defendants]" based on those facts).

As discussed above, providing the jury a clear, authoritative chart of the works at issue will ensure that the jury is *not* confused about the scope of this case, and will greatly simplify the jury's task of rendering at a just, well-reasoned decision concerning the works and claims actually at issue in this litigation.

#### **Question 4 – Liability**

#### **PLAINTIFFS' OBJECTIONS (Question 4):**

Plaintiffs object to presenting this question to the jury because the Court has already ruled that Peters is subject to liability (assuming the jury finds subjective substantial similarity) under the doctrines of vicarious and contributory infringement. Plaintiffs further object to the form of the question. Again, Defendants use a chart demanding that the jury make findings on dozens of individual Star Trek works, which would require Plaintiffs to present each of the referenced Star Trek works to the jury one at a time. This process would be an unnecessary expenditure of court resources considering that the jury may decide infringement of the Star Trek works as a whole.

#### **DEFENDANTS' RESPONSE (Question 4):**

Plaintiffs' objection that "the Court has already ruled that Peters is subject to liability (assuming the jury finds subjective substantial similarity) under the doctrines of vicarious and contributory infringement" is unfounded: the Court did not find direct infringement, nor did it find infringement with respect to any particular work. Furthermore, the Court left unresolved the critical question of which particular alleged works were the sources of Defendants' purported copying, as well as which particular alleged works were infringed by Prelude to Axanar, and which by the Axanar Motion Picture. Again, Plaintiffs' objection to being "require[d] to present dozens of individual Star Trek works to the jury" is disingenuous: it would, of course, be impossible for the jury to "make their finding based on the Star Trek works" without being presented with the works. Furthermore, to the extent Plaintiffs' intend to elect statutory damages pursuant to 17 U.S.C. § 504(c), Defendants note that Plaintiffs' objections here would perforce limit them to seeking a single award of statutory damages. Any other damages theory would be foreclosed by the Copyright Act, which

work, for which any ... infringer is liable." 17 U.S.C. § 504(c)(1) (emphasis added). Plaintiffs thus may not present a *single* work ("the Star Trek works as a whole") for purposes of the subjective substantial similarity analysis, while reserving the right to seek damages for *multiple* works.

As discussed above, providing the jury a clear, authoritative chart of the works at issue will ensure that the jury is *not* confused about the scope of this case, and will greatly simplify the jury's task of rendering at a just, well-reasoned decision concerning the works and claims actually at issue in this litigation.

#### **Question 5 – Damages**

#### **PLAINTIFFS' OBJECTIONS (Question 5):**

Plaintiffs object to presenting this question to the jury because it is confusing and incorporates inapplicable affirmative defenses. In determining damages, Defendants ask the jury to decide if Plaintiffs failed to mitigate their damages and to find whether the infringement was innocent. Failure to mitigate damages is not a defense to copyright infringement and its inclusion here will only mislead and confuse the jury.

Innocent infringement is also inapplicable. Under 17 U.S.C. § 401(d), "[i]f a notice of copyright in the form and position specified by this section appears on the published copy or copies to which a defendant in a copyright Infringement suit had access, then no weight shall be given to such a defendant's interposition of a defense based on innocent infringement in mitigation of actual or statutory damages, except as provided in the last sentence of section 504(c)(2)." Therefore, because each of the works Plaintiffs claim were infringed, to which the Court has decided Defendants had access, featured a copyright notice, and because Defendants are not nonprofit educational institutions, libraries, or archives (or employees/agents thereof), innocent infringement is irrelevant to this case. *See* 17 U.S.C. § 504(c)(2). Asking the jury to

make a finding regarding innocent infringement would be irrelevant and misleading in their calculation of damages.

Plaintiffs also object to Defendants' inclusion of yet another chart requiring findings on each of dozens of Plaintiffs' Star Trek works. Defendants presume that the jury will award different damages for each of the Star Trek works that were infringed. However, the jury will receive no evidence suggesting that Defendants' infringement of certain of the Star Trek works was any more or less egregious than any other. This question will only confuse the jury, and require Plaintiffs present evidence of infringement in each work listed one by one that, as noted above, would be waste of court resources. The jury should be asked to note the amount of damages per infringed work, then simply multiply by the number of works infringed.

#### **DEFENDANTS' RESPONSE (Question 5):**

Plaintiffs' *non sequitur* statement that "[f]ailure to mitigate damages is not a defense to copyright infringement" is irrelevant, since the instruction at issue is a damages instruction, not a liability instruction. Plaintiffs' failure to mitigate their alleged damages is clearly highly relevant to the calculation of damages.

Plaintiffs' objection that "[i]nnocent infringement is also inapplicable" misstates the law and relies on a fundamental misreading of the Copyright Act.

Plaintiffs appear to have been misled by the term "innocent infringement"—which, though it is used as a shorthand reference to the provisions of 17 U.S.C. § 504(c)(2), appears nowhere in that section. Instead, the irrelevant language Plaintiffs cite from 17 U.S.C. § 401(d) addresses the "evidentiary weight of notice" applicable to one who is *defined* as an "innocent infringer[]" under § 405(b). The Copyright Act's plain language shows that § 502(c)(2) is *not* limited to the "innocent infringers" of §§ 401, 402, and 405. Rather, the statute unambiguously provides that "[i]n a case where the infringer sustains the burden of proving... that [he] was not aware and had no reason to believe that his... acts constituted an infringement of copyright, the court in its

discretion may reduce the award of statutory damages to a sum of not less than \$200." *Id*.

Finally, Plaintiffs offer the astonishing proposal that "[t]he jury should be asked to note the amount of damages ... then simply multiply by the number of works [allegedly] infringed." There is no basis for such a procedure, which is flatly incompatible with the plain language of the Copyright Act. Statutory damages may be awarded solely "with respect to **any one work**, for which any ... infringer is liable." 17 U.S.C. § 504(c)(1) (emphasis added). Plaintiffs thus may not present a *single* work ("the Star Trek works as a whole") for purposes of the infringement analysis, while reserving the right to seek damages for *multiple* works. Simply put, Plaintiffs must show that any particular "one work" has actually been infringed, before they are entitled to statutory damages for that work. And, as discussed above, such an approach is also incompatible with Plaintiffs' repeated assertion that need only prove infringement of a single work, namely "the Star Trek works as a whole." As discussed above, providing the jury a clear, authoritative chart of the works at issue will ensure that the jury is *not* confused about the scope of this case, and will greatly simplify the jury's task of rendering at a just, well-reasoned decision concerning the works and claims actually at issue in this litigation.

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